



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : C07C 231/18, 227/32, 233/47, C07D 333/24, B01J 31/24	A1	(11) International Publication Number: WO 95/18787 (43) International Publication Date: 13 July 1995 (13.07.95)
(21) International Application Number: PCT/US95/00010 (22) International Filing Date: 10 January 1995 (10.01.95) (30) Priority Data: 08/179,859 11 January 1994 (11.01.94) US (71) Applicant: E.I. DU PONT DE NEMOURS AND COMPANY [US/US]; 1007 Market Street, Wilmington, DE 19898 (US). (72) Inventors: AYERS, Timothy, Allen; 416 Brandywine Boulevard, Wilmington, DE 19803 (US). RAJANBABU, Thaliyil, V.; 2304 Ramblewood Drive, Wilmington, DE 19810 (US). (74) Agents: SCHAEFFER, Andrew, L. et al.; E. I. du Pont de Nemours and Company, Legal/Patent Records Center, 1007 Market Street, Wilmington, DE 19898 (US).		(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>
(54) Title: SELECTIVE ASYMMETRIC HYDROGENATION OF DEHYDROAMINO ACID DERIVATIVES USING RHODIUM AND IRIIDIUM DIPHOSPHINITE CARBOHYDRATE CATALYST COMPOSITIONS (57) Abstract A process and catalyst composition are provided for the highly efficient enantioselective hydrogenation of dehydroamino acid derivatives. The catalyst composition comprises rhodium or iridium and a diphosphinite carbohydrate ligand, wherein the phosphorous atoms are attached to aromatic groups substituted with electron-donating substituents. Also provided is a means to selectively produce α amino acids in either the L or the D form, based upon use of a sugar in the ligand with phosphinites attached in an absolute Right-Left or Left-Right configuration, respectively.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

TITLE

SELECTIVE ASYMMETRIC HYDROGENATION OF DEHYDROAMINO
ACID DERIVATIVES USING RHODIUM AND IRIIDIUM
DIPHOSPHINITE CARBOHYDRATE CATALYST COMPOSITIONS

5

FIELD OF THE INVENTION

This invention relates to a process and catalyst composition for the asymmetric hydrogenation of dehydroamino acid derivatives to selectively produce either D or L amino acid compounds. The process utilizes a catalyst composition comprising rhodium or iridium and a diphosphinite carbohydrate ligand, wherein the ordered absolute configuration of the two phosphinite groups on the carbohydrate determines whether the α amino acids produced will be D or L. Further, the ligands of the invention comprising phosphinite groups which have aromatic groups substituted with electron-donating substituents, result in catalysts which display very efficient enantioselectivity during the hydrogenation reaction.

15

BACKGROUND OF THE INVENTION

The subject of asymmetric hydrogenation, especially using dehydroamino acid derivatives as substrates, is a commercially important area, particularly in the pharmaceutical field.

Cullen reported the use of the 2,3-glucopyranose system for asymmetric hydrogenation of dehydroamino acid derivatives in 1978 (Tetrahedron Lett. 1978, 1635). Similar disclosures were made by Thompson (J. Organometal. Chem. 1978, 159, C29; U.K. 41,806,177 7/10/77).

Jackson and Thompson (J. Organomet. Chem. 1978, 159, C29) describe the use of 2,3-diphenylphosphinites of a "D-glucopyranose" for S-phenylalanine and 4,6-diphenylphosphinite of a "D-xylofuranose" for the corresponding R amino acid. Thus, unlike the present invention, in order to make R and S amino acid derivatives altogether *different* sugar back bones were previously employed. Habus, Raza and Sunjic (J. Mol. Catal. 1987, 42, 173) also report similar results using "D-glucopyranose" and "D-xylopyranose"-derived bis-diphenylphosphinites for the synthesis of R and S-phenylalanine derivatives. The enantioselectivity in each case is low and in contrast to the present invention, reaction conditions are not practical for large scale preparation of these compounds, where high selectivity is needed.

Selke et al. began work in this area in 1978 and has published a series of papers and also patented some of this work. (J. Mol. Catal. 1986, 37, 213,227;

SUBSTITUTE SHEET (RULE 26)

5 *J. Prakt. Chem.* 1987, 329(4), 717; *J. Mol. Catal.* 1989, 56, 315; DD 140 036; DD 240 372; and DD 248 028). Similar to Cullen and Thompson, Selke discloses using a phenyl group on the phosphorus. Unlike Applicants' process, however, the phosphorus phenyl group was unsubstituted and no recognition was disclosed of enhanced enantioselectivity as a function of electron-rich substituents on the phenyl. Further, the Selke, Cullen and Thompson disclosures are limited to ligands using "2,3-dideoxyglucopyranose", "mannopyranose" and "galactopyranose" in systems yielding only S amino acid derivatives.

10 Other sugar diphosphinites have been examined in both rhodium (*J. Org. Chem.* 1980, 45, 62) and ruthenium (*J. Mol. Catal.* 1980, 9, 307) catalyzed hydrogenation reactions. However, low ee's were obtained. Some simple derivatives have also been reported by Sunjic (Sunjic: *J. Mol. Catal.* 1987, 42, 173); again, in processes yielding low ee values.

15 Other references disclose carbohydrates as the chiral auxiliary for monophosphinites (Yamashita: *Carbohydrate Res.* 1981, 95 C9; *Bull. Chem. Soc. Jpn.* 1982, 55, 2917; *Bull. Chem. Soc. Jpn.* 1986, 59, 175) and phosphines (Sunjic: *J. Organometal. Chem.* 1989, 370, 295; Nakamura: *Chem. Lett.* 1980, 7).

20 Aminophosphine-phosphinites from readily available amino acids have also been used as ligands for asymmetric hydrogenations. (U.S. Patent 5,099,077, 3/24/1992; Petit, M.; Mortreaux, A.; Petit, F.; Buono, G.; Peiffer, G. *Nou. J. Chem.* 1983, 593.)

SUMMARY OF THE INVENTION

25 The present invention provides a process for asymmetric hydrogenation, comprising:

reacting a dehydroamino acid derivative of formula I



I

30

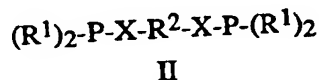
wherein each Z is independently H or a C₁ to C₄₀ carboalkoxy, C₁ to C₄₀ aromatic or nonaromatic hydrocarbyl or C₁ to C₄₀ aromatic or nonaromatic heterocyclic radical; optionally substituted with one or more halo, alkoxy, carboalkoxy, nitro, haloalkyl, hydroxy, amido, keto, or sulfur containing groups;

35

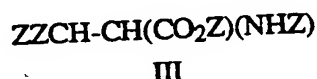
with a source of hydrogen;

SUBSTITUTE SHEET (RULE 26)

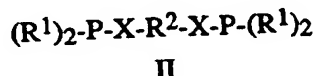
in the presence of a catalyst composition comprising iridium or rhodium and a chiral, nonracemic diphosphinite ligand of formula II



- 5 wherein R^2 is a C_4 to C_{40} dideoxycarbohydrate;
 each X is independently O or NR^3 , wherein R^3 is H , a C_1 to C_{20} alkyl or aryl; and
 each R^1 is independently an aromatic hydrocarbyl substituted with
 one or more amino, dialkylamino, hydroxy, alkoxy, alkyl, triarylsilyl, or
 trialkylsilyl groups, or an aromatic heterocycle substituted with one or more amino,
 10 dialkylamino, hydroxy, alkoxy, alkyl, trialkylsilyl, or triarylsilyl groups;
 to yield a chiral, nonracemic mixture of compounds of formula III



- wherein Z is defined as above.
- 15 This invention further provides a method for predicting whether the above
 hydrogenation process will yield an R or S amino acid derivative, based upon
 whether the absolute configuration of the phosphinite groups " X " attached to the
 carbohydrate R^2 are configured in Right-Left configuration to yield the S amino
 acid derivation of Formula III, or are configured in a Left-Right configuration to
 20 yield the R amino acid derivative of Formula III.
- This invention further provides a catalyst composition comprising iridium or
 rhodium and a chiral, nonracemic diphosphinite ligand of formula II



25

- wherein R^2 is a C_4 to C_{40} dideoxycarbohydrate;
 each X is independently O or NR^3 , wherein R^3 is H , a C_1 to C_{20}
 alkyl or aryl; and
 30 each R^1 is independently an aromatic hydrocarbyl substituted with
 amino, dialkylamino, hydroxy, alkoxy, alkyl, trialkylsilyl, or triarylsilyl groups or

SUBSTITUTE SHEET (RULE 26)

an aromatic heterocycle substituted with amino, dialkylamino, hydroxy, alkoxy, alkyl, trialkylsilyl, or triarylsilyl groups.

This invention further provides a process for asymmetric hydrogenation, comprising reacting a dehydroamino acid derivative of formula I

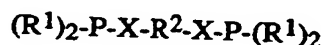


I

wherein each Z is independently H or a C₁ to C₄₀ carboalkoxy, C₁ to C₄₀ aromatic or nonaromatic hydrocarbyl or C₁ to C₄₀ aromatic or nonaromatic heterocyclic radical, optionally substituted with one or more halo, alkoxy, carboalkoxy, nitro, haloalkyl, hydroxy, amido, keto or sulfur containing groups;

with a source of hydrogen;

in the presence of a catalyst composition comprising iridium or rhodium and a chiral nonracemic diphosphinite ligand of formula II



II

wherein R² is a C₄ to C₄₀ dideoxycarbohydrate;

each X is independently O or NR³, wherein R³ is H, a C₁ to C₂₀ alkyl or aryl; and

each R¹ is an unsubstituted aromatic hydrocarbyl,

to yield a chiral, nonracemic mixture of compounds of formula III



III

wherein Z is defined as above;

and wherein in formula II the X groups are attached to R² in the Left-Right diphosphinite configuration whereby the asymmetric hydrogenation process selectively yields compounds of formula III in R-configuration.

DETAILED DESCRIPTION OF THE INVENTION

The process and catalyst composition of the instant invention whereby enantioselective hydrogenation is accomplished by reacting a dehydroamino acid

SUBSTITUTE SHEET (RULE 26)

derivative of the formula $\text{ZZC}=\text{C}(\text{CO}_2\text{Z})(\text{NHZ})$ with hydrogen in the presence of a chiral, nonracemic, metal (Rh, Ir) hydrogenation catalyst, are useful, for example to produce optically active amino acid derivatives. These amino acid derivatives are useful precursors for pharmaceutical products.

- 5 The enantioselective hydrogenation reaction is performed by reacting a dehydroamino acid derivative of the formula $\text{ZZC}=\text{C}(\text{CO}_2\text{Z})(\text{NHZ})$ with hydrogen in the presence of a chiral, nonracemic, metal (Rh, Ir) hydrogenation catalyst. These reactions selectively provide optically active D or L - α - amino acid derivatives of the formula $\text{ZZCHCH}(\text{CO}_2\text{Z})(\text{NHZ})$, where the absolute
10 configuration of the amino acid derivative is determined by the nature of the chiral metal hydrogenation catalyst.

- By the term "carbohydrate", Applicants mean the class of organic compounds comprising the general formula $(\text{CH}_2\text{O})_n$, wherein n is equal to or greater than four. The carbohydrate-derived ligands of the invention are derived
15 from C_4 to C_{40} carbohydrates including monosaccharides, disaccharides and oligosaccharides.

- By the term "hydrocarbonyl", Applicants include all alkyl, aryl, aralkyl or alkylaryl carbon substituents, either straight-chained, cyclic, or branched, accordingly substituted with hydrogen.

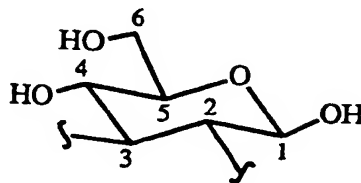
- 20 By the term "heterocycle", Applicants mean a cyclic carbon compound containing at least one oxygen, nitrogen or sulfur atom in the ring.

- By the term electron-donating group, Applicants include those groups that have σ -values (any σ -values such as σ_p , σ_m or their modifications) less than zero (as defined by the Hammett equation, see, for example, March, J. *Advanced*
25 *Organic Chemistry: Reactions, Mechanisms, and Structure*, 4th ed.; 1992, Wiley: New York, 278-286). Such groups include but are not limited to O^- , NMe_2 , NH_2 , OH , OMe , CMe_3 , Me , Me_3Si , SMe , and F .

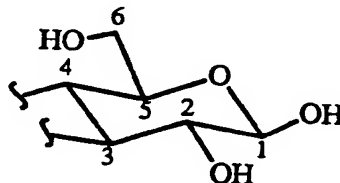
- In describing a carbohydrate group of the formula $\text{X-R}^2\text{-X}$, the "X" can be the same or different and can be O or NR^3 , where R^3 is H , alkyl or aryl; and as it
30 appears within the ligand of the present disclosure, the group R^2 is named by using the prefix "dideoxy" with the name of the parent diol of the formula $\text{HO-R}^2\text{-OH}$. The suffix "pyranose" or "furanose" in combination with the carbohydrate root names shall include those compounds wherein the sugar exists as an internal 6- (pyranose) or 5- (furanose) membered acetal. The OH groups may or may not be

SUBSTITUTE SHEET (RULE 26)

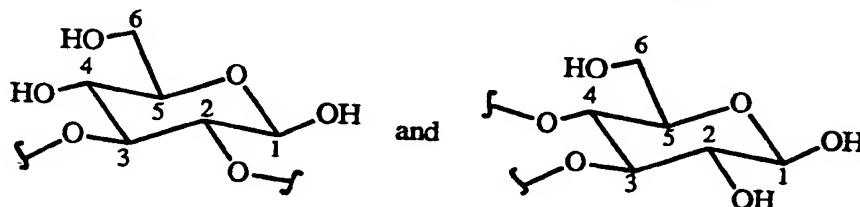
protected as esters or ethers. For example, the name "2,3-dideoxy-glucopyranose" refers to the group:



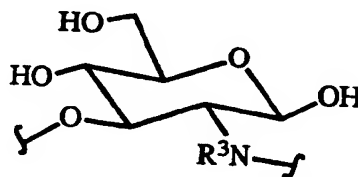
and "3,4-dideoxy-glucopyranose" refers to the group:



Accordingly, the corresponding carbohydrate groups $O-R^2-O$ are:



- 5 Nitrogen may be substituted for one or both of the oxygens in the above formula $O-R^2-O$ to provide an aminosugar. An example of the carbohydrate group $O-R^2-NR^3$ is the "2,3-dideoxyglucose":



- The suffix -ose- when used in combination with carbohydrate root names, shall include those compounds wherein the OH groups are protected as ethers or esters. By this definition, for example, the pyranoside structure shown below is termed a "glucopyranose" since the configuration of the sugar back-bone (C_1-C_5) is that of the sugar glucose,
- 10

SUBSTITUTE SHEET (RULE 26)



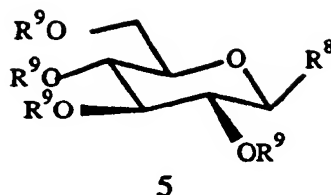
EINSCHLÄGIGE DOKUMENTE			
Kategorie	Kennzeichnung des Dokuments mit Angabe, soweit erforderlich der maßgeblichen Teile	Betrifft Anspruch	KLASSIFIKATION DER ANMELDUNG (Int.Cl.7)
A ✓	WO 96 16971 A (LONZA AG ;BRIEDEN WALTER (CH)) 6. Juni 1996 (1996-06-06) Formel Ia * das ganze Dokument *	1	C07F9/655
A	EP 0 885 897 A (BASF AKTIENGESELLSCHAFT, GERMANY) 23. Dezember 1998 (1998-12-23) * Beispiel 3 *	1-8	
A	* Seite 5, Zeile 25 - Seite 6, Zeile 1 *	9-25	
A ✓	RAJANBABU, T. V. ET AL: "Carbohydrate Phosphinites as Practical Ligands in Asymmetric Catalysis: Electronic Effects and Dependence of Backbone Chirality in Rh-Catalyzed Asymmetric Hydrogenations. Synthesis of R- or S-Amino Acids Using Natural Sugars as Ligand Precursors" JOURNAL OF ORGANIC CHEMISTRY (1997), 62(17), 6012-6028 , XP002216106 Seite 6026, Spalte 2; Verbindung 31 * Seite 6015 - Seite 6020; Abbildung 3; Tabelle 4 *	1-25 <i>prev. Sued</i>	
			RECHERCHIERTE SACHGEBIETE (Int.Cl.7)
			C07F
UNVOLLSTÄNDIGE RECHERCHE			
<p>Die Recherchenabteilung ist der Auffassung, daß ein oder mehrere Ansprüche, den Vorschriften des EPÜ in einem solchen Umfang nicht entspricht bzw. entsprechen, daß sinnvolle Ermittlungen über den Stand der Technik für diese Ansprüche nicht, bzw. nur teilweise, möglich sind.</p> <p>Vollständig recherchierte Patentansprüche:</p> <p>Unvollständig recherchierte Patentansprüche:</p> <p>Nicht recherchierte Patentansprüche:</p> <p>Grund für die Beschränkung der Recherche:</p> <p>Siehe Ergänzungsblatt C</p>			
Recherchenort MÜNCHEN		Abschlußdatum der Recherche 20. November 2003	Prüfer Richter, H
<p>KATEGORIE DER GENANNTEN DOKUMENTEN</p> <p>X : von besonderer Bedeutung allein betrachtet Y : von besonderer Bedeutung in Verbindung mit einer anderen Veröffentlichung derselben Kategorie A : technologischer Hintergrund O : nichtschriftliche Offenbarung P : Zwischenliteratur</p> <p>T : der Erfindung zugrunde liegende Theorien oder Grundsätze E : älteres Patentdokument, das jedoch erst am oder nach dem Anmeldedatum veröffentlicht worden ist D : in der Anmeldung angeführtes Dokument L : aus anderen Gründen angeführtes Dokument & : Mitglied der gleichen Patentfamilie, übereinstimmendes Dokument</p>			

1

EPO FORM 1503 03 82 (PO4C09)

EINSCHLÄGIGE DOKUMENTE			KLASSIFIKATION DER ANMELDUNG (Int.Cl.7)
Kategorie	Kennzeichnung des Dokuments mit Angabe, soweit erforderlich der maßgeblichen Teile	Betrifft Anspruch	
A ✓	<p>RAJANBABU, T. V. ET AL: "Role of Electronic Asymmetry in the Design of New Ligands: The Asymmetric Hydrocyanation Reaction"</p> <p>JOURNAL OF THE AMERICAN CHEMICAL SOCIETY (1996), 118(26), 6325-6326 ,</p> <p>XP002262100</p> <p>* das ganze Dokument *</p> <p>---</p>	1-25	
A ✓	<p>WO 95 18787 A (DU PONT DE NEMOURS, E. I., AND CO., USA) 13. Juli 1995 (1995-07-13)</p> <p>Seite 12</p> <p>* Seite 27, Zeile 11 - Seite 30; Tabelle 1 *</p> <p>---</p>	1-25	
A ✓	<p>CHEMICAL ABSTRACTS, vol. 72, no. 17, 27. April 1970 (1970-04-27)</p> <p>Columbus, Ohio, US;</p> <p>abstract no. 90791,</p> <p>HOLY, ANTONIN: "Nucleic acid components and their analogs. CXXX. Preparation of nucleotide derivatives of 1'-homouridine and their behavior towards some nucleolytic enzymes"</p> <p>XP002262101</p> <p>Verbindung I</p> <p>* Zusammenfassung *</p> <p>& COLLECTION OF CZECHOSLOVAK CHEMICAL COMMUNICATIONS (1970), 35(1), 81-8 , 1970,</p> <p>---</p> <p>-/--</p>	1-8	<p>RECHERCHIERTE SACHGEBIETE (Int.Cl.7)</p>

11



wherein:

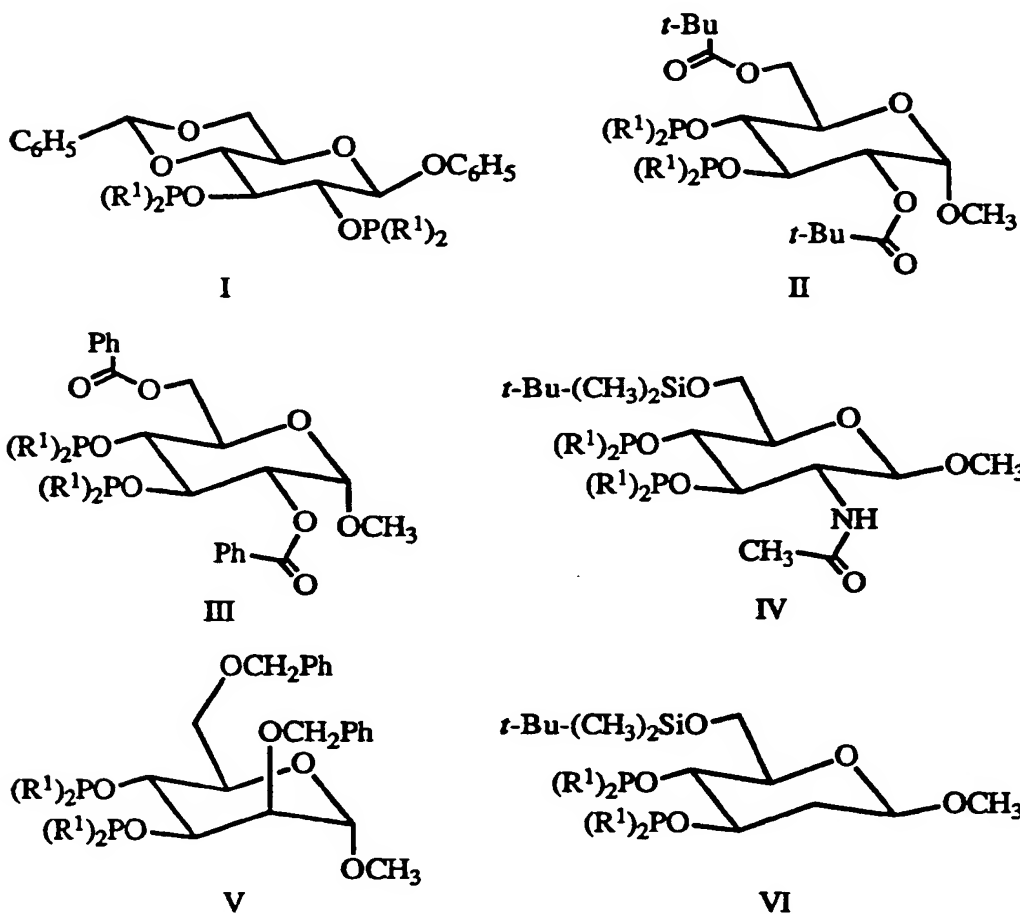
R^8 is H, C_1 to C_{20} hydrocarbyl, alkoxy, or aryloxy;

R^9 is independently selected from H, C_1 to C_{20} hydrocarbyl, acyl or $P(R^1)_2$, where R^1 is aryl, alkoxy, aryloxy;

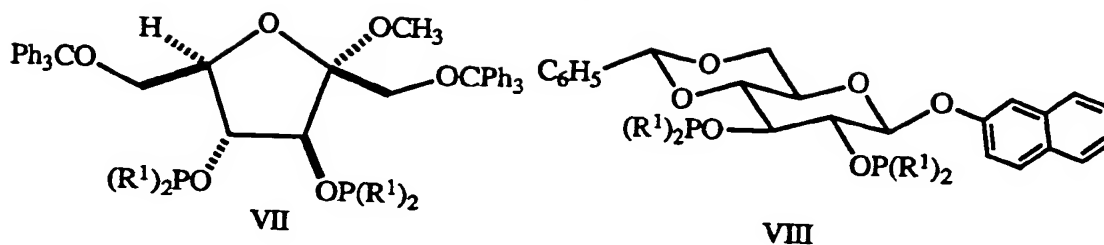
5

and the sum total of $P(R^1)_2$ groups present in the O-substituted glucopyranose organophosphorus ligand is equal to 2.

Examples of the ligands used in the present invention include the following:



SUBSTITUTE SHEET (RULE 26)



- A. $R^1 = \text{Ph}$ D. $R^1 = 4\text{-FC}_6\text{H}_4$ G. $R^1 = 4\text{-(CF}_3\text{)C}_6\text{H}_4$
 B. $R^1 = 3,5\text{-(CH}_3\text{)}_2\text{C}_6\text{H}_3$ E. $R^1 = 3,5\text{-F}_2\text{C}_6\text{H}_3$ H. $R^1 = 3,5\text{-(CH}_3\text{)}_2\text{-4-(CH}_3\text{O)C}_6\text{H}_2$
 C. $R^1 = 4\text{-(CH}_3\text{O)C}_6\text{H}_4$ F. $R^1 = 3,5\text{-(CF}_3\text{)}_2\text{C}_6\text{H}_3$ J. $R^1, R^1 = [\text{R}]-2,2'\text{-Binaphtholate}$

Using the above representation of the ligands, the catalysts are described as follows: [IA] Rh(COD)SbF₆ refers to a catalyst prepared from ligand IA and Rh(COD)₂SbF₆; [IIB] Rh(COD)BF₄ refers to a catalyst prepared from ligand IIB and Rh(COD)₂BF₄, etc.

- 5 For illustrative purpose, ligands IA, IB, IE and IF may be defined as follows in the context of the general definition (i.e., (R¹)₂-P-X-R²-X-P-(R¹)₂) of the:

- IA: R²: "2,3-dideoxyglucopyranose" X = O, X = O; R¹ = Phenyl
 IB: R²: "2,3-dideoxyglucopyranose" X = O, X = O; R¹ = 3,5-dimethylphenyl
 10 IE: R²: "2,3-dideoxyglucopyranose" X = O, X = O; R¹ = 3,5-difluorophenyl
 IF: R²: "2,3-dideoxyglucopyranose" X = O, X = O; R¹ = 3,5-bis(CF₃) phenyl

- The ligands of the invention are defined to contain R¹ groups which are substituted with electron-donating groups. The beneficial electronic effect of these ligands can be illustrated by comparing ligands IA, IB, IE and IF in the
 15 Rh(+)-catalyzed hydrogenation of methyl 2-acetamido-3-(4-fluorophenyl)propen-2-oate. An 85% ee was obtained when diphenylphosphinite IA was used, whereas a 96% ee was obtained with the more electron rich 3,5-dimethylphenyl phosphinite IB. Very low ee's of 13% and 9% were obtained using electron-deficient systems, 3,5-difluorophenylphosphinite IE and 3,5-bis-trifluoromethylphenyl-phosphinite
 20 IF, respectively. Applicants believe that utilization of this electronic effect will prove to be highly significant and beneficial in applications necessitating practical means of synthesis of amino acids in very high enantioselectivity.

Examples where high ee's were obtained for the Rh(+)-catalyzed hydrogenation of methyl 2-acetamidocinnamate include IB (S-99.0%), IIB (R-

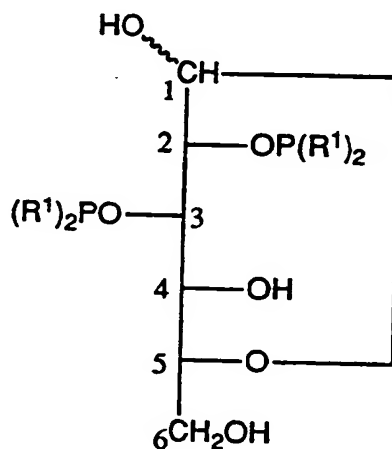
93.0%), IIIB (*R*-97.0%), and IVB (*R*-98.3%). The hydrogenation of other substrates are illustrated in the tables.

Another highly significant aspect of the present invention relates to Applicants' recognition that the relative regiochemistry of the vicinal-phosphinites with respect to their location on a given sugar back-bone ("glucose", for example) dictates which amino acid (*R* or *S*; or *D* or *L*) is generated in the hydrogenation. For example, *S*-amino acids are obtained when ligands I and VIII are used, whereas *R*-amino acids are obtained when ligands II, III or IV are used in the reduction of dehydroamino acid derivatives. For purposes of clarity and uniformity, Applicants have characterized and described this element of the invention in terms of the ordered absolute configurations of the phosphinites on sugar back-bone Fisher Projections. In this context, the ordered absolute configuration of the phosphinites on the sugar will be designated unambiguously as either Right-Left, or Left-Right. Applicants are the first to recognize that a Right-Left (occupying the 2,3-position of the sugar) ligand configuration results in formation of the *S* enantiomer or *L* amino acid, whereas the Left-Right ligand configuration (occupying the 3,4-position of the sugar) results in formation of the *R* enantiomer or *D* amino acid. More specifically, using Fisher Projections (see, for example, Stryer, L. Biochemistry, 3rd ed.; 1988, Freeman: New York, 332-336) of furanose and pyranose derived vicinal diphosphinites, the sense of chirality of products formed in the Rh-catalyzed hydrogenation of dehydroamino acid derivatives can be predicted. In doing so the configuration of the carbon with the lower number is indicated first. Thus, Right-Left diphosphinite indicates that the carbon carrying the right phosphinite is lower in number in the context of the Fisher Projection.

Pyranose and furanose sugars that have a Right-Left diphosphinite configuration (see text for convention) give *L*-amino acid derivatives (corresponding to *S* configurations) and those sugars with a Left-Right diphosphinite configuration give *D*-amino acid derivatives (corresponding to *R* configurations) when used in the Rh or Ir catalyzed hydrogenation of dehydroamino acid derivatives.

When the diphosphinites are on the 2,3-positions of *D*-glucose as shown, the product of the hydrogenation is a *L*-amino acid (*S*-configuration). Using Fisher Projections of the sugar derivatives, one can pictorially define the relative location of the diphosphinites on either the left or right side. In this way, by using the

standard numbering for carbohydrate nomenclature, the first phosphinite (on the 2-position) is on the right side and the second phosphinite (on the 3-position) is on the left side of the glucose systems. We are defining this as a Right-Left diphosphinite.

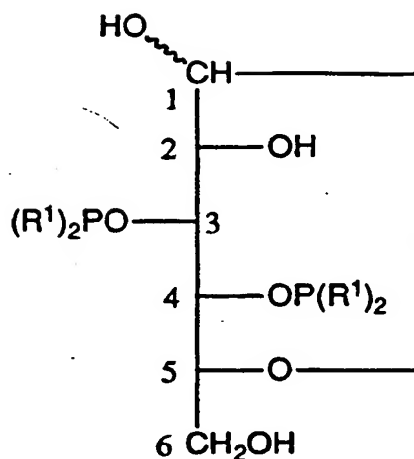


Right-Left diphosphinite from D-Glucose

- 5 Accordingly, when diphosphinites are on the 3,4-positions of D-glucose as shown, the product of the hydrogenation is a D-amino acid (R-configuration). Once again using the standard numbering for carbohydrate nomenclature, the first phosphinite (on the 3-position) is on the left side and the second phosphinite (on the 4-position) is on the right side of the glucose systems. We are defining this as a
- 10 Left-Right diphosphinite.

SUBSTITUTE SHEET (RULE 26)

15

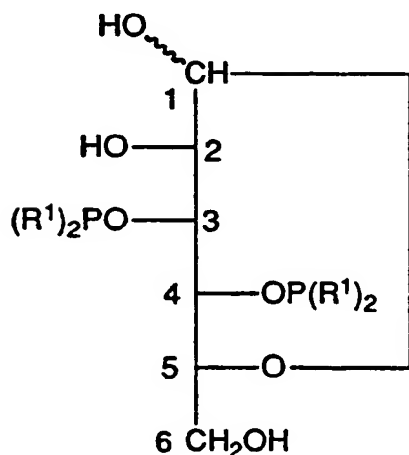


Left side ⋮ Right side

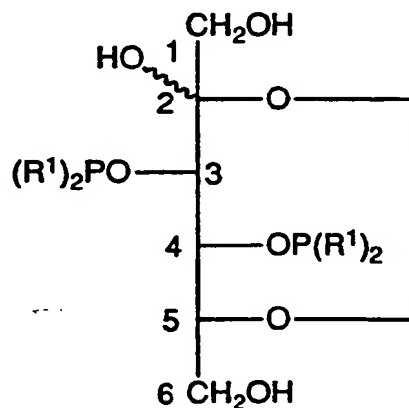
Left-Right diphosphinite from D-Glucose

Correspondingly, other sugar derivatives where a Right-Left diphosphinite is present will provide L-amino acids, whereas a Left-Right diphosphinite will provide D-amino acids when these ligands are used in the hydrogenation of dehydroamino acid derivatives.

- 5 Other examples enable us to further illustrate the understanding of this relationship of the sugar diphosphinites to the configuration of the product amino acid derivatives. The 3,4-diphosphinite derived from D-mannose and the 3,4-diphosphinite derived from D-fructose, both Left-Right diphosphinites provide D-amino acid derivatives under the hydrogenation conditions.



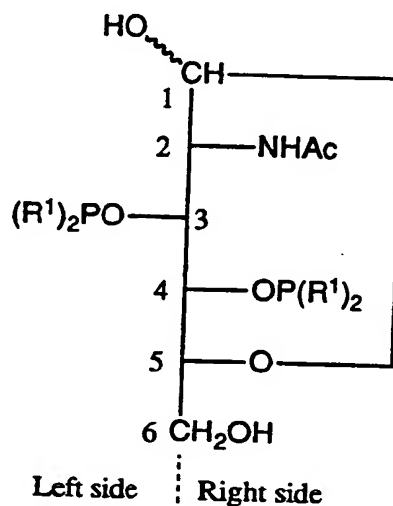
Left-Right diphosphinite from D-Mannose



Left-Right diphosphinite from D-Fructose

SUBSTITUTE SHEET (RULE 26)

Also, the 2-deoxy-2-acetamido glucose derivative shown below is a Left-Right diphosphinite and provides D-amino acids under the hydrogenation conditions



Left-Right diphosphinite from D-Glucose

Within the context of the ligand formula $\text{II } (R^1)_2\text{-P-X-R}^2\text{-X-P-(R}^1)_2$ and the ligand nomenclature developed above, the ligands **IB**, **IIIB** and **IVB** may be compared in the process of the invention to further illustrate this configurational effect:

- IB:** R^2 : "2,3-dideoxyglucopyranose" $X = O$, $X = O$; $R^1 = 3,5$ -dimethylphenyl
IIIB: R^2 : "3,4-dideoxyglucopyranose" $X = O$, $X = O$; $R^1 = 3,5$ -dimethylphenyl
IVB: R^2 : "3,4-dideoxyglucopyranose" $X = O$, $X = O$; $R^1 = 3,5$ -dimethylphenyl

When $R^1 = \text{bis-(2,3-dimethylphenyl)phosphino}$, ligand **IB** serves as an efficient ligand for Rh(+) in the catalytic hydrogenation of methyl acetamidocinnamate which is reduced to the corresponding $S(+)$ methyl phenylalaninate in 99.0% ee. Under identical conditions, 93.0 and 98.3% ee of the $R(-)$ isomer are obtained using ligand **IIIB** and **IVB**, respectively.

The configurationally specific chiral, nonracemic carbohydrate-derived diphosphorus ligands can be prepared according to techniques well-known in the art. (Selke, R.; Facklam, C.; Foken, H.; Heller, D. *Tetrahedron Asymmetry* 1993, 4, 369; Baker, M. J.; Pringle, P. G.; *J. Chem. Soc. Commun.* 1991, 1292; Habus, I.; Raza, Z.; Sunjic, V. *J. Mol. Catal.* 1987, 42, 173.; Jackson, W. R.; Lovel, C. G. *Aust. J. Chem.* 1982, 35, 2069; Jackson, R.; Thompson, D. J.

J. Organomet. Chem. **1978**, *159*, C29; Cullen, W. R.; Sugi, Y.; *Tetrahedron Lett.* **1978**, 1635). In general, diol derivatives containing unprotected hydroxyl groups are treated with a $P(R)_2Cl$ (wherein R may generally be an alkyl, aryl, alkoxy, or aryloxy) reagent, in the presence of a base, such as pyridine or triethylamine, to produce the desired phosphinite or phosphite. Some $P(R)_2Cl$ reagents are commercially available, such as PPh_2Cl (Ph = phenyl). Other $P(R)_2Cl$ reagents, where R = aryl or alkyl, can be prepared by two methods. Method A involves the reaction of (amino)dichlorophosphines such as Et_2NPCl_2 with $RMgBr$ followed by reaction with HCl [Methoden Der Organischen Chemie (Houben-Weyl): Vol 12, Part 1; Muller, E., ed.; Georg Theme Verlag: Stuttgart, 1963, 213-215; de Koe, P.; Bickelhaupt, F. *Angew. Chem. Int. Ed., Eng.* **1967**, *6*, 567; Quin, L. D.; Anderson, H. G. *J. Org. Chem.* **1966**, *31*, 1206.; Montgomery, R. E.; Quin, L. D. *J. Org. Chem.* **1965**, *30*, 2393; Frank, A. *J. Org. Chem.* **1961**, *26*, 850]. Alternatively, treatment of readily available dialkyl phosphites, such as dibutyl phosphite, $HP(O)(OBU)_2$, with $RMgBr$ followed by reaction with PCl_3 provides $P(R)_2Cl$ derivatives (U.S. Patent 5,175,335). $P(R)_2Cl$ reagents, where R = alkoxy or aryloxy, can be prepared in two steps by treatment of $P(NEt_2)_3$ with ROH to generate $P(OR)_2(NEt_2)$, followed by treatment with CH_3COCl to generate $P(OR)_2Cl$. Illustrative preparations are provided below.

For all embodiments of the invention the chiral, nonracemic metal hydrogenation catalyst may be prepared by mixing the metal source and the chiral, nonracemic, organophosphorus ligand, preferably in a suitable organic solvent under an inert atmosphere such as N_2 or Ar in a temperature range from $0^\circ C$ to $120^\circ C$, preferably in a temperature range from $0^\circ C$ to $80^\circ C$. The metal compound may be used in this solution or the metal compound can be obtained in the pure form upon removal of the solvent. Rh is the preferred metal. Counter ions BF_4 and SBF_6 are preferred.

The preferred molar ratio of chiral, nonracemic, organophosphorus ligand to the metal may vary between 1:1 to 2:1, most preferably between 1:1 to 1.2:1.

The preferred molar ratio of metal complex to vinyl compound may vary between 0.00005:1 to 1:1, most preferably between 0.0001:1 to 0.01:1.

The dehydroamino acid derivative, represented by the formula $ZZC=C(CO_2Z)(NHZ)$ may be dissolved in any organic solvent such as, but not limited to, tetrahydrofuran, methanol, ethanol, dimethoxyethane, toluene or hexane.

SUBSTITUTE SHEET (RULE 26)

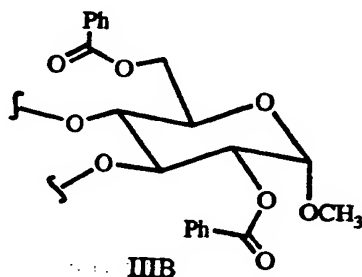
Tetrahydrofuran (THF), methanol, ethanol and dimethoxyethane and mixtures thereof are preferred solvents. THF is the most preferred.

The hydrogen can be provided by contacting the reaction mixture with hydrogen gas.

- 5 The hydrogenation reaction is preferably conducted over a temperature range from -25°C to 100°C, most preferably 25 to 30°C. Applicants note that higher ee's are observed at lower temperatures. Suitable pressure range is 10-100 psi (1 psi = 6.9 kPa).

- 10 The enantioselective hydrogenation reactions are typically complete within 3-24 hours.

- To demonstrate a preferred mode of the invention which produces a particularly useful product, preparation of optically active (R)-(+)-phenylalanine can be achieved. The catalyst composition comprises a cationic rhodium (I) compound and the ligand formula $(R^1)_2P-X-R^2-XP(R^1)_2$ wherein each R^1 is the aryl group 3,5-dimethylphenyl and R^2 is the O-substituted β -D-glucopyranose of the formula
- 15 III B, the starting acrylate derivative is α -acetamidocinnamic acid, and the source of rhodium metal is $(COD)_2RhSbF_6$.



- For the preparation of (R)-(+)-phenylalanine, the enantioselective hydrogenation is preferably carried out at 25°C under 40 psi pressure of hydrogen.
- 20 A mixture of α -acetamidocinnamic acid and the chiral rhodium catalyst is stirred in a suitable solvent such as THF, DME, or CH_3OH for 3 h. In this preferred embodiment, a molar ratio between 0.0025:1 to 0.05:1 of rhodium catalyst to acrylate derivative is used.

- 25 Using these preferred conditions, ee's greater than 95% are typically obtained. Isolation of the product amino acid in 90-100% yield can be achieved by crystallization from the reaction mixture.

SUBSTITUTE SHEET (RULE 26)

General Procedures for the Preparation of Chiral Carbohydrate Diols, Phosphinite Ligands (R¹)₂P-X-R₂-X-P(R¹)₂ and Rh and Ir Catalysts Derived Therefrom

A. Synthesis of Diols

The requisite diols for the ligand synthesis (see Table 1) were prepared by procedures outlined below.

Phenyl 4,6-O-benzylidene-β-D-glucopyranoside. The title compound was prepared by treatment of commercially available phenyl-β-D-glucopyranoside with dimethoxytoluene in the presence of p-toluenesulfonic acid in acetonitrile (for leading references see Carbohydrates, Ed. Collins, P. M., Chapman and Hall, New York, 1987, 414).

Methyl 2,6-di-O-pivaloyl-α-D-glucopyranoside and Methyl 2,6-di-O-benzoyl-α-D-glucopyranoside. The requisite carbohydrate diols were synthesized according to literature procedures: (Ogawa, T.; Matsui, M. *Tetrahedron* 1981, 37, 2369; Tomic-Kulenovic, S.; Keglevic, D. *Carbohydrate Res.* 1980, 85, 302.).

Methyl 2-acetamido-2-deoxy-6-O-*t*-butyldimethylsilyl-β-D-glucopyranoside. This compound was prepared from the corresponding methyl glucoside, *Methyl 2-acetamido-2-deoxy-β-D-glucopyranoside* (Carbohydrates, Ed. Collins, P. M., Chapman and Hall, New York, 1987, p. 414) by treatment with *t*-butyldimethylchlorosilane in DMF and imidazole. ¹H NMR δ 0.00 (2Xs, 6 H), 0.80 (2Xs, 9 H), 1.98 (s, br, 3H), 3.20-3.32 (m, 1 H), 3.32-3.50 (s superimposed on m 5 H), 3.59 (dd, J = 12, 8, 1 H), 3.76, 3.84 (ABX, JAB = 18, 2 H), 4.28 (d, J = 8, 1 H), 6.42 (d br J = 4, 3 H).

Methyl 2-deoxy-6-O-*t*-butyldimethyl-α-D-glucopyranoside. This compound was prepared from the corresponding methyl glucoside, *Methyl 2-deoxy-α-D-glucopyranoside*. (Carbohydrates, Ed. Collins, P. M., Chapman and Hall, New York, 1987, p. 352) by treatment with *t*-butyldimethylchlorosilane in DMF and imidazole. ¹H NMR δ 4.73 (d, 1, J = 3 Hz), 3.85-3.78 (m, 4), 3.55-3.46 (m, 2), 3.35 (m, 1), 3.29 (s, 3), 2.05 (m, 1), 1.61 (m, 1), 0.88 (m, 9), 0.07 (m, 6).

Methyl 2,6-di-O-benzyl-α-D-mannopyranoside. A ca. 2:1 mixture of exo- and endo-isomers of bis-[(2,3-O-), (4,6-O-)] benzyldiene-α-D-mannopyranoside (Carbohydrates, Ed. Collins, P. M., Chapman and Hall, New York, 1987, p. 350) was prepared by reaction of methyl α-D-mannopyranoside with 2.2 eq of α,α-dimethoxytoluene and catalytic p-toluenesulfonic acid in acetonitrile. This compound was treated with NaBH₄ and HCl (Garegg, P. J.; Hultberg, H.

SUBSTITUTE SHEET (RULE 26)

Carbohydrate Res. 1981, 93, C10) to provide a mixture of products from which the methyl 2,6-O-benzyl- α -D-mannopyranoside was isolated by flash chromatography. The assignment of this isomer was confirmed by ^1H decoupling experiments on the corresponding bis-(3,4-O-diphenylphosphino) derivative (ligand VA). ^1H NMR δ 7.42-7.24 (m, 10), 4.81 (d, 1, $J = 1$ Hz), 4.75-4.54 (m, 4), 3.78-3.71 (m, 6), 3.36 (s, 3), 2.83 (bs, 1), 2.43 (bs, 1).

Methyl 1,6-O-trityl- α -D-fructofuranoside. The starting diol was prepared by tritylation of Methyl - α -D-fructofuranoside. (*Carbohydrates*, Ed. Collins, P. M., Chapman and Hall, New York, 1987, 356) with trityl chloride in pyridine.

B. Example of Modified Procedure for the Synthesis of Ar_2PCl

Di-[(3,5-bis-trifluoromethyl)-phenyl]chlorophosphine. A 1.0 M solution of (3,5-bis-trifluoromethyl)phenylmagnesium bromide was prepared by slow addition of 18.5 g (60 mmol) of (3,5-bis-trifluoromethyl)bromobenzene in 40 mL of THF to a slurry of Mg turnings in 20 mL of THF. After 1 h, this solution was added slowly to a solution of 5.0 g (29 mmol) of Et_2NPCl_2 in 30 mL of THF at 0°C . After 2 h, the mixture was concentrated in vacuo. Cyclohexane (100 mL) was added and the mixture was filtered through celite to provide a solution of [di-3,5-bis(trifluoromethyl)phenyl](diethyl-amino)phosphine. Dry HCl was passed through this solution for 1 h. After filtration under a nitrogen atmosphere (in some instances, it was necessary to degas the solution to precipitate the amine hydrochloride) and concentration, 12.4 g (88%) of 1a was collected as a white solid. ^{31}P NMR δ 69.8; ^1H NMR δ 7.66 (m, 4) 7.52 (s, 2).

Bis-(4-methoxyphenyl)chlorophosphine. ^{31}P NMR δ 85.4; ^1H NMR δ 7.54 (m, 4), 6.65 (m, 4), 3.17 (s, 6); ^{13}C δ 134.0 (d, 1, $J_{\text{PC}} = 26$ Hz), 128.4 (d, 1, $J_{\text{PC}} = 24$ Hz), 128.2 (d, 1, $J_{\text{PC}} = 24$ Hz), 114.6 (d, 1, $J_{\text{PC}} = 8$ Hz), 54.8.

Bis-(3,5-dimethylphenyl)chlorophosphine. ^{31}P NMR δ 85.3; ^1H NMR δ 7.25 (m, 4), 6.62 (s, 2), 1.85 (m, 12).

Bis-(3,5-difluorophenyl)chlorophosphine. ^{31}P NMR δ 75.3; ^1H NMR δ 6.93 (m, 4), 6.43 (m, 2).

Bis-(3,5-dimethyl-4-methoxyphenyl)chlorophosphine. ^{31}P NMR δ 89.2; ^1H NMR δ 7.42 (d, 4, $J = 12$ Hz), 3.18 (s, 6), 1.98 (s, 12).

Bis-(4-fluorophenyl)chlorophosphine. ^{31}P NMR δ 80.6; ^1H NMR δ 7.12 (m, 4), 6.58 (m, 4).

SUBSTITUTE SHEET (RULE 26)

Bis-(4-trifluoromethylphenyl)chlorophosphine. ^{31}P NMR δ 76.3;
 ^1H NMR δ 7.33 (m, 8).

C. Synthesis of Phosphinites

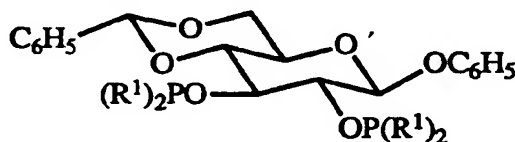
- 5 The ligands were synthesized according to methods previously reported in U.S. Patent 5,175,335 (Casalnuovo, A. L.; RajanBabu, T. V.) and the reference, Selke, R.; Pracejus, H. *J. Mol. Catal.* 1986, 37, 213.

D. Synthesis of Metal Catalysts

- 10 In a dry box under nitrogen, a solution of 0.49 mmols of $\text{Rh}(\text{COD})_2^+ \text{X}^-$ ($\text{X} = \text{SbF}_6, \text{BF}_4, \text{OSO}_2\text{CF}_3$) in 5 mL of CH_2Cl_2 was added to 0.50 mmol of phosphinite in 5 mL of CH_2Cl_2 at room temperature. The mixture was stirred for 30 min to 3 h and the solvent was carefully removed under vacuum. A fine powder of the Rh-complex may be obtained by redissolving the complex in 8 mL of benzene and freeze-drying the sample under high vacuum.

The following ligands and the corresponding catalysts were prepared according to general procedures (A-D) outlined earlier and the structures were confirmed by ^1H NMR and ^{31}P NMR.

- 20 I. Ligands and catalysts from phenyl 4,6-O-benzylidene- β -D-glucopyranoside



IA. (2,3-diphenylphosphinite), $\text{R}^1 = \text{Ph}$ (see U.S. Patent 5,175,335, and Selke, R.; Pracejus, H. *J. Mol. Catal.* 1986, 37, 213 for ligand synthesis):

- [IA] $\text{Rh}(\text{COD})\text{SbF}_6$ ^{31}P NMR(CDCl_3): ABX (= $\text{P}_1\text{P}_2\text{Rh}$), $\eta_a = 137.5$, $\eta_b = 138.6$, $J_{AB} = 27$ Hz, $J_{AX} = J_{BX} (= J_{\text{RhP}}) = 176$ Hz; [IA] $\text{Rh}(\text{COD})\text{BF}_4$ ^{31}P NMR: ABX (= $\text{P}_1\text{P}_2\text{Rh}$), $\eta_A = 136.5$, $\eta_B = 138.0$, $J_{AB} = 27$ Hz, $J_{AX} = J_{BX} (= J_{\text{RhP}}) = 178$ Hz.

Iridium Catalyst [IA] $\text{Ir}(\text{COD})\text{BF}_4$ ^{31}P NMR: 118.6 (d, 1, $J_{pp} = 28$ Hz), 120.0 (d, 1, $J_{pp} = 28$ Hz).

SUBSTITUTE SHEET (RULE 26)

IB. (Di-(bis-3,5-dimethylphenyl)phosphinite), $R^1 = 3,5-(CH_3)_2C_6H_3$ (for ligand see: U.S. Patent 5,175,335): $[IB]Rh(COD)SbF_6$ ^{31}P NMR($CDCl_3$):
 ABX (= P_1P_2Rh), $\eta_a = 136.6$, $\eta_b = 136.8$, $J_{AB} = 27$ Hz,
 $J_{AX} = J_{BX}$ (= J_{RhP}) = 177 Hz; in C_6D_6 ABX (= P_1P_2Rh), $\eta_A = 134.0$,
 5 $\eta_B = 136.0$, $J_{AB} = 29$ Hz, $J_{AX} = J_{BX}$ (= J_{RhP}) = 178 Hz.

IC. (Di-(4-methoxyphenyl)phosphinite), $R^1 = 4-MeO-C_6H_4$: **IC.** 1H NMR
 3.12 (s, 3 H), 3.17 (s, 3 H), 3.18 (s, 3 H), 3.20 (s, 3 H), 3.29 (t, J = 10,
 1 H), 3.54 (t, 10, 1 H), 3.92 (dd, J = 10, 4, 1 H), (4.51 - 4.55 (2 X dd,
 10 2 H), 4.58 (s, 1 H), 4.59 (d, J = 8 Hz), 6.50-7.60 (m, aromatic); ^{31}P 116.59
 (d, J = 3, 1 P), 121.06 (d, J = 3, 1 P). $[IC]Rh(COD)SbF_6$ ^{31}P NMR (C_6D_6)
 ABX (= P_1P_2Rh), $\eta_a = 139.5$, $\eta_b = 140.1$, $J_{AB} = 24$ Hz, $J_{AX} = J_{BX}$
 (= J_{RhP}) = 182 Hz.; $[IC]Rh(COD)OTf$ ^{31}P NMR (C_6D_6) ABX (= P_1P_2Rh),
 $\eta_A = 136.8$, $\eta_B = 138.5$, $J_{AB} = 28$ Hz, $J_{AX} = J_{BX}$ (= J_{RhP}) = 181 Hz.
 15

ID. (Di-(4-fluorophenyl)phosphinite), $R^1 = 4-F-C_6H_4$: 1H NMR δ 7.35-6.40
 (m, 26), 4.82 (d, 1, J = 8 Hz), 4.80 (s, 1), 4.42 (m, 2), 3.91 (dd, 1 J = 5, 10 Hz),
 3.28 (m, 2), 3.11 (m, 1); ^{31}P NMR δ 118.0, 114.8. $[ID]Rh(COD)SbF_6$
 ^{31}P NMR($CDCl_3$): multiplet superimposed on an ABX 8-line pattern with further
 20 small coupling presumably due to long range interaction with fluorines. δ 126.5,
 126.8, 128.0, 128.3, 129.2, 129.5, 130.8, 131.1.

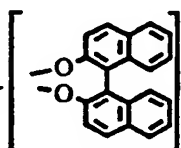
IE. (Di-(3,5-difluorophenyl)phosphinite), $R^1 = 3,5-F_2C_6H_3$ (for ligand, see U.S.
 Patent 5,175,335). $[IE]Rh(COD)SbF_6$ ^{31}P NMR($CDCl_3$): ABX (= P_1P_2Rh),
 25 $\eta_A = 134.7$, $\eta_B = 137.9$, $J_{AB} = 28$ Hz, $J_{AX} = J_{BX}$ (= J_{RhP}) = 182 Hz.

IF. (Di-(bis-3,5-trifluoromethylphenyl)phosphinite), $R^1 = 3,5-(CF_3)_2C_6H_3$ (for
 ligand, see U.S. Patent 5,175,335). $[IF]Rh(COD)SbF_6$ ^{31}P NMR(C_6D_6): ABX
 (= P_1P_2Rh), $\eta_A = 126.8$, $\eta_B = 130.5$, $J_{AB} = 36$ Hz, $J_{AX} = J_{BX}$ (= J_{RhP}) = 182
 30 Hz.

IG. (Di-(4-trifluoromethylphenyl)phosphinite), $R^1 = 4-CF_3C_6H_4$: 1H NMR
 (C_6D_6) 3.05(m, 1 H), 3.10-3.20 (m, 2 H), 3.90 (dd, J = 10, 6, 1 H), 4.36 (m,
 2 H), 4.71 (s, 1 H), 4.78 (d, J = 7 Hz, 1 H), 6.28 (d, J = 7 Hz, 1 H), 6.60-7.40

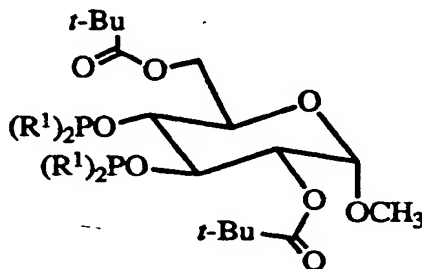
SUBSTITUTE SHEET (RULE 26)

(m, aromatic); ^{31}P 113.0, 115.7; $[\text{IG}]\text{Rh}(\text{COD})\text{BF}_4$ ^{31}P NMR(C_6D_6): 125.0 ($J_{\text{PP}} = 36$, 1 P), 117.3 ($J_{\text{PP}} = 36$ Hz, 1 P), $J_{\text{RhP}} = 173$ Hz.

IJ. (([R]-2,2'-O-Binaphthyl)phosphite), $\text{R}^1, \text{R}^2 = (\text{R})-$ : (for ligand,

5 see U.S. Patent 5,175,335). $[\text{IJ}]\text{Rh}(\text{COD})\text{BF}_4$ ^{31}P NMR(C_6D_6): ABX ($= \text{P}_1\text{P}_2\text{Rh}$), $\eta_{\text{A}} = 132.7$, $\eta_{\text{B}} = 138.7$, $J_{\text{AB}} (= J_{\text{PP}}) = 55$ Hz, $J_{\text{AX}} = J_{\text{BX}} (= J_{\text{RhP}}) = 255$ Hz.

10 II. Ligands and catalysts from Methyl-2,6-O-bis-(trimethylacetyl)- α -D-glucopyranoside



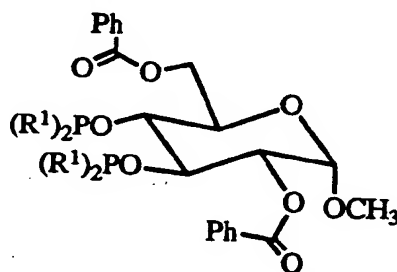
15 **IIA.** (3,4-diphenylphosphinite), $\text{R}^1 = \text{Ph}$: ^1H NMR δ 7.50-6.78 (m, 20), 5.25 (dd, 1, $J = 4$, 10 Hz), 5.05 (m, 1), 5.00 (d, 1, $J = 3$ Hz), 4.44 (m, 1), 4.17 (dd, 1, $J = 2$, 12 Hz), 3.94 (ddd, 1, $J = 2$, 5, 10 Hz), 3.75 (dd, 1, $J = 5$, 12 Hz), 2.97 (s, 3), 1.14 (s, 9) 0.93 (s, 9); ^{31}P NMR δ 118.0 (d, 1, $J_{\text{pp}} = 5$ Hz), 114.8 (d, 1, $J_{\text{pp}} = 5$ Hz); $[\text{IIA}]\text{Rh}(\text{COD})\text{BF}_4$ ^{31}P NMR(C_6D_6): ABX ($= \text{P}_1\text{P}_2\text{Rh}$), $\eta_{\text{A}} = 134.0$, $\eta_{\text{B}} = 136.5$, $J_{\text{AB}} = 30$ Hz, $J_{\text{AX}} = J_{\text{BX}} (= J_{\text{RhP}}) = 178$ Hz.

20 **IIIB.** (3,4-Di-(bis-3,5-dimethylphenyl)phosphinite), $\text{R}^1 = 3,5-(\text{CH}_3)_2\text{C}_6\text{H}_3$: ^1H NMR δ 7.35-7.18 (m, 6), 6.95-6.85 (m, 2), 6.64 (s, 1), 6.53 (s, 1), 6.47 (s, 1), 6.33 (s, 1), 5.30 (m, 1), 5.08 (m, 1), 4.89 (m, 1), 4.50 (m, 1), 4.12 (dm, 1, $J = 12$ Hz), 3.95 (m, 1), 3.72 (m, 1), 2.88 (s, 3), 1.99 (s, 6), 1.98 (s, 6), 1.93 (s, 6), 1.90 (s, 6); ^{31}P NMR δ 122.1, 117.9; $[\text{IIIB}]\text{Rh}(\text{COD})\text{BF}_4$ ^{31}P NMR(C_6D_6): ABX ($= \text{P}_1\text{P}_2\text{Rh}$), $\eta_{\text{A}} = 129.0$, $\eta_{\text{B}} = 135.2$, $J_{\text{AB}} = 30$ Hz, $J_{\text{AX}} = J_{\text{BX}} (= J_{\text{RhP}}) = 176$.

SUBSTITUTE SHEET (RULE 26)

- IIF.** (3,4-Di-(bis-3,5-trifluoromethylphenyl)phosphinite), $R^1 = 3,5-(CF_3)_2C_6H_3$: 1H NMR δ 8.01-6.63 (m, 12), 5.02 (dd, 1, $J = 4, 10$ Hz), 4.86 (m, 1), 4.83 (d, 1, $J = 4$ Hz), 4.06 (m, 1), 3.86 (m, 2), 3.65 (dd, 1, $J = 6, 12$ Hz), 2.90 (s, 3), 1.01 (s, 9), 0.85 (s, 9); ^{31}P NMR δ 111.9, 105.7.; **[IIF]Rh(COD)BF₄** In addition to the eight line pattern at 125.3, 125.7, 126.1, 126.4, 127.2, 127.6, 127.9 there is another set of broad doublets which appear around δ 130, 132, 141 and 143.
- IIH.** (3,4-Di-((bis-3,5-dimethyl)-4-O-methyl-phenyl)phosphinite), $R^1 = 3,5-(CH_3)_2-4-(CH_3O)-C_6H_2$: 1H NMR δ 7.39 (m, 4), 7.30 (m, 2), 7.09 (m, 2), 5.39 (dd, 1, $J = 4, 10$ Hz), 5.19 (m, 1), 4.97 (d, 1, $J = 4$ Hz), 4.57 (m, 1), 4.12 (dd, 1, $J = 1, 12$ Hz), 4.04 (ddd, 1, $J = 1, 4, 10$ Hz), 3.77 (dd, 1, $J = 5, 12$ Hz), 3.38 (m, 3), 3.28 (m, 3), 3.22 (s, 3), 3.14 (s, 3), 2.95 (s, 3), 2.17 (s, 3), 2.12 (s, 6), 2.11 (s, 3), 1.16 (s, 9), 0.96 (s, 9); ^{31}P NMR δ 123.2 (d, 1, $J_{pp} = 3$ Hz), 117.8 (d, 1, $J_{pp} = 3$ Hz). **[IIH]Rh(COD)BF₄** ^{31}P NMR(C_6D_6): ABX (= P_1P_2Rh), $\eta_A = 129.3$, $\eta_B = 135.6$, $J_{AB} = 30$ Hz, $J_{AX} = J_{BX} (= J_{RhP}) = 176$ Hz.

III. Ligands and catalysts from methyl 2,6-O-dibenzoyl- α -D-glucopyranoside



- IIIA.** (3,4-diphenylphosphinite), $R^1 = Ph$: 1H NMR δ 8.12 (m, 2), 7.85 (m, 2), 7.50-6.49 (m, 16), 5.40 (dd, 1, $J = 4, 12$ Hz), 5.22 (m, 1), 5.08 (d, 1, $J = 3$ Hz), 4.70 (m, 1), 4.39 (d, 1, $J = 12$ Hz), 4.04 (dd, 1, $J = 4, 10$ Hz), 3.91 (dd, 1, $J = 4, 12$ Hz), 2.78 (s, 3); ^{31}P NMR δ 120.0 (d, 1, $J_{pp} = 4$ Hz), 116.0 (d, 1, $J_{pp} = 4$ Hz). **[IIIA]Rh(COD)BF₄** NMR(C_6D_6): ABX (= P_1P_2Rh), $\eta_A = 130.8$, $\eta_B = 133.7$, $J_{AB} = 32$ Hz, $J_{AX} = J_{BX} (= J_{RhP}) = 176$ Hz.

SUBSTITUTE SHEET (RULE 26)

IIIB. (3,4-Di-(bis-3,5-dimethylphenyl)phosphinite), $R^1 = 3,5-(CH_3)_2C_6H_3$:
 1H NMR δ 8.13 (m, 2), 7.80 (m, 2), 7.30-6.70 (m, 14), 6.63 (s, 1), 6.46 (s, 1),
 6.32 (s, 1), 6.03 (s, 1), 5.51 (dd, 1, $J = 4, 10$ Hz), 5.23 (m, 1), 5.00 (d, 1, $J = 3$
 5 Hz), 4.89 (m, 1), 4.42 (d, 1, $J = 12$ Hz), 4.04 (dd, 1, $J = 4, 10$ Hz), 3.90 (dd, 1,
 $J = 4, 12$ Hz), 2.75 (s, 3), 2.02 (s, 6), 1.91 (s, 6), 1.88 (s, 6), 1.73 (s, 6);
 ^{31}P NMR δ 124.7, 118.8. [IIIB]Rh(COD)BF₄ NMR(C₆D₆): ABX
 (= P₁P₂Rh), $\eta_A = 129.0$, $\eta_B = 130.4$, $J_{AB} = 10$ Hz, $J_{AX} = J_{BX} (= J_{RhP}) = 175$
 Hz; [IIIA]Rh(COD)SbF₆ NMR(C₆D₆): ABX (= P₁P₂Rh), $\eta_A = 132.8$, $\eta_B =$
 134.2, $J_{AB} = 30$ Hz, $J_{AX} = J_{BX} (= J_{RhP}) = 151$ Hz.

10

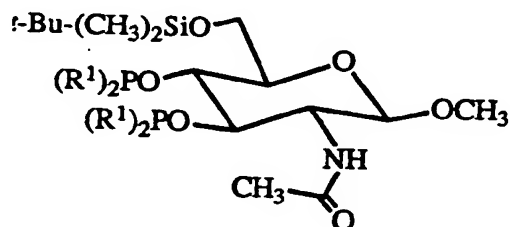
IIIC. (3,4-Di-(4-methoxyphenyl)phosphinite), $R^1 = 4-(CH_3O)C_6H_4$: 1H NMR δ
 8.40-6.46 (m, 26), 5.69 (dd, 1, $J = 4, 10$ Hz), 5.45 (m, 1), 5.27 (d, 1, $J = 4$ Hz),
 4.93 (m, 1), 4.65 (dd, 1, $J = 2, 12$ Hz), 4.29 (m, 1), 4.19 (m, 1), 3.41 (s, 3),
 3.34 (s, 3), 3.32 (s, 3), 3.19 (s, 3), 3.02 (s, 3); ^{31}P NMR δ 120.5 (d, 1, $J_{pp} = 5$
 15 Hz), 117.8 (d, 1, $J_{pp} = 5$ Hz). [IIIC]Rh(COD)BF₄ NMR(C₆D₆): ABX
 (= P₁P₂Rh), $\eta_A = 134.4$, $\eta_B = 136.1$, $J_{AB} = 28$ Hz, $J_{AX} = J_{BX} (= J_{RhP}) = 181$
 Hz.

[IIIE]Rh(COD)BF₄ NMR(C₆D₆): ABX (= P₁P₂Rh), $\eta_A = 126.7$, $\eta_B = 127.6$,
 20 $J_{AB} = 39$ Hz, $J_{AX} = J_{BX} (= J_{RhP}) = 179$ Hz.

IIIF. (3,4-Di-(bis-3,5-trifluoromethylphenyl)phosphinite), $R^1 = 3,5-(CF_3)_2C_6H_3$:
 1H NMR δ 8.22-6.89 (m, 32), 5.45 (dd, 1, $J = 4, 10$ Hz), 5.19 (m, 1), 5.11 (d,
 1, $J = 4$ Hz), 4.52 (m, 1), 4.28 (d, 1, $J = 12$ Hz), 4.11 (dd, 1, $J = 5, 10$ Hz), 3.98
 25 (dd, 1, $J = 5, 12$ Hz), 2.93 (s, 3); ^{31}P NMR δ 113.0, 107.5.

IIIG. (3,4-Di-(4-trifluoromethylphenyl)phosphinite), $R^1 = 4-CF_3C_6H_3$
 1H NMR(C₆D₆) 2.80 (s, 3 H), 3.85 (dd, $J = 13, 4, 1$ H), 4.06 (ddm, $J = 8, 4,$
 1 H), 4.28 (dd, $J = 13, 2, 1$ H), 4.60 (dt, $J = 12, 12, 1$ H), 5.00 (m, 1 H), 5.03
 30 (d, $J = 4, 1$ H), 5.28 (dd, 12, 4, 1 H), 6.70-7.60 (m, aromatic);
 [IIIG]Rh(COD)BF₄ NMR(C₆D₆): ABX (= P₁P₂Rh), $\eta_A = 125.2$, $\eta_B = 127.4$,
 $J_{AB} = 37$ Hz, $J_{AX} = J_{BX} (= J_{RhP}) = 177$ Hz.

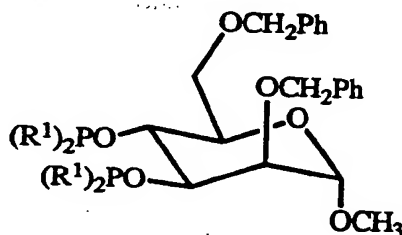
IV. Ligands and catalysts from methyl-2-acetamido-6-O-(*t*-butyldimethylsilyl)-2-deoxy- β -D-glucopyranoside



IVA. (3,4-diphenylphosphinite), $R^1 = \text{Ph}$ Ligand: ^{31}P NMR (C_6D_6) 112.70 (d, $J_{\text{PP}} = 5$ Hz), 117.17 (d, $J_{\text{PP}} = 5$ Hz); [IVA]RhSbF₆ (C_6D_6) ABX (= PPRh),
 5 $\eta_{\text{A}} = 122.5$, $\eta_{\text{B}} = 129.2$, $J_{\text{AB}} (J_{\text{PP}}) = 35$, $J_{\text{RhP}} = 173$.

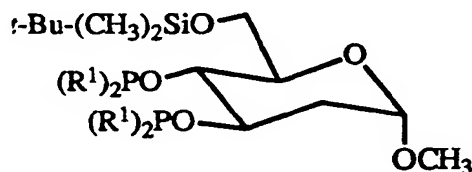
IVB. (3,4-Di-(bis-3,5-dimethylphenyl)phosphinite), $R^1 = 3,5\text{-(CH}_3)_2\text{C}_6\text{H}_3$:
 ^1H NMR δ 7.55-7.22 (m, 8), 6.86 (s, 1), 6.72 (s, 1), 6.64 (s, 1), 6.59 (s, 1),
 5.26 (m, 1), 5.13 (d, 1, $J = 8$ Hz), 4.73 (m, 2), 4.42 (m, 1), 3.80 (m, 3), 3.49
 10 (s, 3), 2.20 (s, 15), 2.17 (s, 6), 2.11 (s, 6), 1.12 (s, 9), 0.16 (s, 3), 0.15 (s, 3);
 ^{31}P NMR δ 120.4 (d, 1, $J_{\text{pp}} = 4$ Hz), 115.7 (d, 1, $J_{\text{pp}} = 4$ Hz); [IVB]RhBF₄
 (C_6D_6) ABX (= PPRh), $\eta_{\text{A}} = 118.9$, $\eta_{\text{B}} = 126.6$, $J_{\text{AB}} (J_{\text{PP}}) = 34$, $J_{\text{RhP}} = 170$.

V. Ligands and catalysts from methyl-2,6-O-dibenzyl- α -D-mannopyranoside



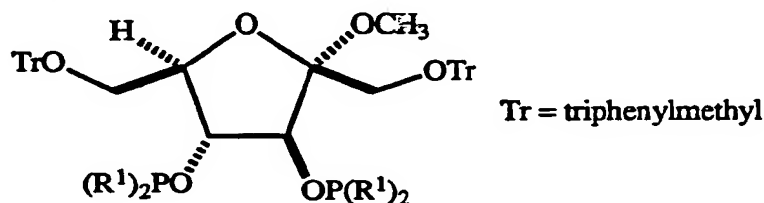
15 VA. (3,4-diphenylphosphinite), $R^1 = \text{Ph}$: ^1H NMR δ 7.78-6.80 (m, 20), 5.09
 (m, 1), 4.95 (m, 1), 4.72 (d, 1, $J = 2$, Hz), 4.22 (m, 4), 4.11 (m, 1), 4.02
 (m, 1), 3.55 (m, 2), 3.13 (s, 3); ^{31}P NMR δ 117.3, 110.4; [VA]Rh(COD)BF₄
 (C_6D_6) ^{31}P : $\eta_{\text{A}} = 129.2$, $\eta_{\text{B}} = 137.2$, $J_{\text{PP}} = 27$, $J_{\text{RhP}} = 177$;
 20 [VB]Rh(COD)BF₄(C_6D_6) ^{31}P : $\eta_{\text{A}} = 124.8$, $\eta_{\text{B}} = 133.9$, $J_{\text{pp}} = 30$; $J_{\text{RhP}} = 176$.

VI. Ligands and catalysts from methyl-6-O-(*t*-butyldimethylsilyl)-2-deoxy- α -D-glucopyranoside



- VIB.** (3,4-Di-(bis-3,5-dimethylphenyl)phosphinite), $R^1 = 3,5-(CH_3)_2C_6H_3$:
 1H NMR δ 7.61-7.26 (m, 8), 6.88 (s, 1), 6.81 (s, 1), 6.65 (s, 1), 6.60 (s, 1),
 5.20 (m, 1), 4.64 (m, 1), 4.45 (d, 1, $J = 3$ Hz), 3.14 (s, 3), 2.21 (s, 6), 2.16
 (s, 6), 2.14 (s, 6), 2.12 (s, 6), 1.11 (s, 9), 0.11 (s, 3), 0.11 (s, 3); ^{31}P NMR δ
 121.1 (d, 1 $J_{pp} = 2$ Hz), 113.1 (d, 1, $J_{pp} = 2$ Hz); [VIB]Rh OTf (C_6D_6) ABX
 (= PPRh), $\eta_A = 123.6$, $\eta_B = 128.2$, J_{AB} (JPP) = 34, $J_{RhP} = 173$.
 [VIB]Ph(COD)BF $_4$ ^{31}P (C_6D_6) ABX (=PPRh) $\eta_A = 124.9$, $\eta_B = 127.4$, $J_{AB} =$
 33, $J_{RhP} = 173$.

VII. Ligands and catalysts from methyl-5,6-O-triphenylmethyl- α -D-fructofuranoside



- VIIA.** (3,4-diphenylphosphinite), $R^1 = Ph$: 1H NMR (C_6D_6) 3.10 (s, 3H),
 3.35, 3.45 (ABX, $J_{AB} = 10$, $J_{AX} = 7$, $J_{BX} = 6$, 2 H), 3.60, 3.78 (AB, $J_{AB} =$
 10, 2 H), 4.50 (ddm, br, 1H), 4.88 (m, 1H), 5.00 (d, $J = 10$, 1 H), 6.80-7.80
 (m, aromatic); ^{31}P NMR (C_6D_6) 114.2, 115.1 (AB, $J_{pp} = 9$). [VIIA]RhSbF $_6$
 (C_6D_6) ABX (= PPRh), $\eta_A = 119.7$, $\eta_B = 122.8$ J_{AB} (JPP) = 29, $J_{RhP} = 166$.
VIIIB. (3,4-Di-(bis-3,5-dimethylphenyl)phosphinite), $R^1 = 3,5-(CH_3)_2C_6H_3$:
 1H NMR δ 1.85, 1.91, 1.94, 2.05 (4Xs, 3H each), 3.10 (s, 3H), 3.45-3.60
 (ABX, $J_{AB} = 9$, $J_{AX} = J_{BX} = 5$, 2H), 3.67, 3.80 (ABq, $J_{AB} = 10$, 2H), 4.47 (qm,
 br, 1H), 5.63 (d, $J = 11$ Hz, 1 H), 5.20 (m, 1 H), 6.50-7.80 (m, aromatic);
 ^{31}P NMR (C_6D_6) δ 116.41(d, $J_{pp} = 8$, 1 P), 118.53(d, $J_{pp} = 8$, 1 P).

[VIIB]Rh(COD)BF₄: ³¹P NMR(C₆D₆): 114.2 (dd, J_{RhP} = 169, J_{PP} = 28, 1 P), 131.5 (dd, J_{RhP} = 169, J_{PP} = 28, 1 P).

- 5 VIIC. (3,4-Di-(4-methoxyphenyl)phosphinite), R¹ = 4-(CH₃O)C₆H₄: ¹H NMR (C₆D₆) 3.05-3.30 (4Xs total 15 H), 3.40, 3.50 (ABX, J_{AB} = 10, J_{AX} = 7, J_{BX} = 6, 2 H), 3.61, 3.79 (AB, J_{AB} = 10, 2 H), 4.58 (ddm, br, 1H), 4.90 (m, 1H), 5.05 (d, J = 10, 1 H), 6.42-7.61 (m, aromatic); ³¹P NMR (C₆D₆) 115.0, 115.2 (AB, J_{PP} = 7). [VIIC]Rh(COD)SbF₆ (C₆D₆) ABX (= PPRh), n_A = 121.8, n_B = 122.1, J_{AB} (= J_{PP}) = 27, J_{RhP} = 167; [VIIC]Rh(COD)OTf (C₆D₆) ABX (= PPRh), η_A = 121.3, η_B = 121.9, J_{AB} (= J_{PP}) = 28, J_{RhP} = 166.
- 10

VIII. Ligands and catalysts from 2-naphthyl 4,6-O-benzylidene-β-D-glucopyranoside

- 15 VIIIA. (2,3-diphenylphosphinite), R¹ = Ph: ¹H NMR 3.25 (dt, J = 8, 4, 1 H), 3.35 (t, J = 9, 1 H), 3.51 (t, J = 9, 1 H), 4.00 (dd, J = 8, 4, 1 H), 4.40-4.60 (m, 2 H), 4.85 (s, 1 H), 5.02 (d, J = 8, 1 H), 6. 50-7.52 (m, aromatic). [VIIIA]Rh(COD)SbF₆ ³¹P NMR(CDCl₃): ABX (= P₁P₂Rh), η_A = 137.9, η_B = 139.2, J_{AB} = 21 Hz, J_{AX} = J_{BX} (= J_{RhP}) = 192 Hz
- 20

Asymmetric Hydrogenation Reactions:

- General Procedure for Scouting Reactions. In the dry box, a 150 mL Fisher-Porter tube was charged with 50 mg of acetamidoacrylate derivative, 1 mg of L•Rh(COD)A, and 1 mL of solvent (THF, MeOH, DME, etc.). The tube was sealed and charged with H₂ (10-100 psi). After 3 h, the tube was vented. When Z³ = CH₃, the crude product was analyzed directly by GC (25 m x 0.25 mm Chirasil L-VAL capillary column) for enantiomeric excess determination. In the case of Z³ = H, the crude product was treated with diazomethane prior to analysis by GC. Pure samples of the amino acid derivatives were obtained by
- 25 recrystallization or by flash chromatography and characterized by ¹H NMR.
- 30

Synthesis of D-amino acid derivatives (R-configuration)

Examples 1-56 provide D-amino acids under the hydrogenation conditions described above.

Table 1

Hydrogenation of Dehydroamino Acid Derivatives

[Z¹Z²C=C(CO₂Z³)(NHZ⁴), Z¹ = H, Z⁴ = Ac] Using L*Rh(COD)A^a

Ex.	Cat.	Z ²	Z ³	% ee (R-)	Conditions ^a
1	[IIA]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	80.2	
2	[IIA]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	84	run at -10°C
3	[IIB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	92.4	
4	[IIB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	94.5	run at -10°C
5	[IIF]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	11	
6	[IIH]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	93.1	
7	[IIA]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	39.8	run in MeOH
8	[IIB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	91.4	run in DME
9	[IIB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	88.1	run in Toluene
10	[IIB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	87.6	run in Bu ₂ O
11	[IIB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	76.4	run in EtOH
12	[IIB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	74.5	run in MeOH
13	[IIH]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	88.4	run in Bu ₂ O
14	[IIH]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	88.2	run in Toulene
15	[IIH]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	92.4	run in DME
16	[IIH]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	80.0	run in EtOH
17	[IIH]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	79.0	run in MeOH
18	[IIB]Rh(COD)BF ₄	C ₆ H ₅	H	94.5	
19	[IIB]Rh(COD)BF ₄	4-FC ₆ H ₄	CH ₃	92.0	
20	[IIB]Rh(COD)BF ₄	3-(MeO)C ₆ H ₄	CH ₃	93.1	
21	[IIB]Rh(COD)BF ₄	2-Napth	CH ₃	92.0	
22	[IIB]Rh(COD)BF ₄	2-Napth	H	93.0	
23	[IIIA]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	58.7	
24	[IIIB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	93.0	
25	[IIIC]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	84.7	
26	[IIIE]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	1.0	
27	[IIIF]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	2.3	
28	[IIIG]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	2.0	
29	[IIIB]Rh(COD)SbF ₆	C ₆ H ₅	CH ₃	96.0	
30	[IIIB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	94.0	run in DME
31	[IIIB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	77.9	run in MeOH

32	[IIIB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	87.6	run in Toluene
33	[IIIB]Rh(COD)BF ₄	C ₆ H ₅	H	95.8	
34	[IIIB]Rh(COD)SbF ₆	C ₆ H ₅	H	97.0	
35	[IIIB]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	96.2	run in MeOH
36	[IIIB]Rh(COD)BF ₄	4-FC ₆ H ₄	CH ₃	80.2	
37 ^b	[IIIB]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	90 ^c	
38	[IIIB]Rh(COD)BF ₄	4-FC ₆ H ₄	H	95.4	
39	[IIIB]Rh(COD)SbF ₆	4-FC ₆ H ₄	H	96.4	
40	[IIIB]Rh(COD)SbF ₆	(CH ₃) ₂ CH	H	89.2	run in MeOH
41	[IIIB]Rh(COD)SbF ₆	3-thienyl	CH ₃	97.0	
42	[IVA]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	94.9	
43	[IVB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	98.3	
44	[IVA]Rh(COD)BF ₄	C ₆ H ₅	H	94.5	
45	[IVB]Rh(COD)BF ₄	C ₆ H ₅	H	94.5	
46	[IVB]Rh(COD)BF ₄	4-FC ₆ H ₄	CH ₃	97.8	
47	[VA]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	55.4	
48	[VA]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	18.1	
49	[VB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	72.2	
50	[VIB]Rh(COD)OTf	C ₆ H ₅	CH ₃	76.0	
51	[VIB]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	65.1	
52	[VIIA]Rh(COD)SbF ₆	C ₆ H ₅	CH ₃	48.0	
53	[VIIC]Rh(COD)SbF ₆	C ₆ H ₅	CH ₃	51	
54	[VIIA]Rh(COD)SbF ₆	C ₆ H ₅	H	51.0	
55	[VIIA]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	53.0	
56	[VIIB]Rh(COD)BF ₄	4-FC ₆ H ₄	CH ₃	56.8	
57	[VIIC]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	57.0	

^aReaction performed at ambient temperature in THF under 40 psi of H₂ pressure unless noted.

^bIn this case, Z⁴ = C(O)OCH₂Ph (Cbz).

^cEE determined on alcohol after reduction of crude product with LiBH₄.

Synthesis of L-amino acid derivatives (S-configuration)

Examples 57-98 provide L-amino acids under the hydrogenation conditions described above.

Table 2

Hydrogenation of Dehydroamino Acid Derivatives

[Z¹Z²C=C(CO₂Z³)(NHZ⁴), Z¹ = H, Z⁴ = Ac] Using L*Rh(COD)A^a

Ex.	Cat.	Z ²	Z ³	% ee (S-)	Remarks ^a
57	[IB]Rh(COD)SbF ₆	C ₆ H ₅	CH ₃	96	
58	[IE]Rh(COD)SbF ₆	C ₆ H ₅	CH ₃	2.0	
59	[IG]Rh(COD)BF ₄	C ₆ H ₅	CH ₃	9.8	
60	[IA]Rh(COD)SbF ₆	C ₆ H ₅	H	94.0	
61	[IB]Rh(COD)SbF ₆	C ₆ H ₅	H	99	
62	[IC]Rh(COD)SbF ₆	C ₆ H ₅	H	93.0	
63	[IC]Rh(COD)OTf	C ₆ H ₅	H	96.0	
64	[ID]Rh(COD)SbF ₆	C ₆ H ₅	H	91	
65	[IE]Rh(COD)SbF ₆	C ₆ H ₅	H	60	
66	[IF]Rh(COD)SbF ₆	C ₆ H ₅	H	71	
67	[IJ]Rh(COD)SbF ₆	C ₆ H ₅	H	47.0	
68	[IA]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	84.0	
69	[IA]Rh(COD)BF ₄	4-FC ₆ H ₄	CH ₃	85.0	
70	[IB]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	97.2	
71	[IC]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	89	
72	[ID]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	81.0	
73	[IE]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	13	
74	[IF]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	9	
75	[IB]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	96.7	run in EtOH
76	[IB]Rh(COD)SbF ₆	4-FC ₆ H ₄	H	98.0	
77 ^b	[IA]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	62 ^c	
78 ^b	[IB]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	97.0 ^c	
79 ^b	[IC]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	85.0 ^c	
80 ^b	[IF]Rh(COD)SbF ₆	4-FC ₆ H ₄	CH ₃	54 ^c	
81	[IB]Rh(COD)SbF ₆	3-(MeO)C ₆ H ₄	CH ₃	98.1	
82	[IE]Rh(COD)SbF ₆	3-(MeO)C ₆ H ₄	CH ₃	21.0	
83	[IA]Rh(COD)SbF ₆	3-(MeO)C ₆ H ₄	H	91	
84	[IB]Rh(COD)SbF ₆	3-(MeO)C ₆ H ₄	H	97.0	
85	[IE]Rh(COD)SbF ₆	3-(MeO)C ₆ H ₄	H	53.0	
86	[IF]Rh(COD)SbF ₆	3-(MeO)C ₆ H ₄	H	5	
87	[IA]Rh(COD)BF ₄	2-Napth	H	94.2	

88	[IB]Rh(COD)SbF ₆	2-Naph	H	97.9
89	[IB]Rh(COD)SbF ₆	4-BrC ₆ H ₄	H	98
90	[IE]Rh(COD)SbF ₆	4-BrC ₆ H ₄	H	47
91	[IA]Rh(COD)SbF ₆	(CH ₃) ₂ CH	H	90.0
92	[IB]Rh(COD)SbF ₆	(CH ₃) ₂ CH	H	91.0
93	[IC]Rh(COD)SbF ₆	(CH ₃) ₂ CH	H	83.3
94	[IF]Rh(COD)SbF ₆	(CH ₃) ₂ CH	H	26.0
95	[IA]Rh(COD)SbF ₆	3-thienyl	CH ₃	86.6
96	[IB]Rh(COD)SbF ₆	3-thienyl	CH ₃	96.7
97	[VIII A]Rh(COD)SbF ₆	C ₆ H ₅	H	89
98	[VIII A]Rh(COD)SbF ₆	3-(MeO)C ₆ H ₄	H	89.0

^aReaction performed at ambient temperature in THF under 40 psi of H₂ pressure unless noted.

^bIn this case, Z⁴ = C(O)OCH₂Ph (Cbz).

^cEE determined on alcohol after reduction of crude product with LiBH₄.

Hydrogenation using Ir catalyst

- 5 A solution of 50 mg (0.23 mmol) of methyl acetamidocinnamate and 1 mg of [IA]Ir(COD)BF₄ in 1 mL of THF was placed in a Fisher-Porter tube in the drybox. This material was charged with 30 psi of H₂ pressure and heated to 100°C. The pressure rose to 50 psi. After 3 h, the tube was vented and analyzed as usual. A 7.7% ee (enriched with S-isomer) was obtained.

WHAT IS CLAIMED IS:

1. A process for asymmetric hydrogenation, comprising:
reacting a dehydroamino acid derivative of formula I



wherein each Z is independently H or a C₁ to C₄₀ carboalkoxy, C₁ to C₄₀ aromatic or nonaromatic hydrocarbyl or C₁ to C₄₀ aromatic or nonaromatic heterocyclic radical; optionally substituted with one or more halo, alkoxy, carboalkoxy, nitro, haloalkyl, hydroxy, amido, keto or sulfur containing groups;

with a source of hydrogen;

in the presence of a catalyst composition comprising iridium or rhodium and a chiral, nonracemic diphosphinite ligand of formula II



wherein R² is a C₄ to C₄₀ dideoxycarbohydrate;
each X is independently O or NR³, wherein R³ is H, a C₁ to C₂₀ alkyl or aryl; and
each R¹ is independently an aromatic hydrocarbyl substituted with one or more amino, dialkylamino, hydroxy, alkoxy, alkyl, trialkylsilyl, trialkylaryl groups or an aromatic heterocycle substituted with one or more amino, dialkylamino, hydroxy, alkoxy, alkyl, trialkylsilyl, or triarylsilyl groups ;
to yield a chiral, nonracemic mixture of compounds of formula III



wherein Z is defined as above.

2. The process of Claim 1 wherein in formula II, the X groups are attached to R² in a Right-Left diphosphinite configuration, whereby the asymmetric hydrogenation process selectively yields compounds of formula III in S-configuration.

3. The process of Claim 1 wherein in formula II, the X groups are attached to R² in a Left-Right diphosphinite configuration, whereby the asymmetric hydrogenation process selectively yields compounds of formula III in R-configuration.

5 4. The process of Claim 1 wherein the catalyst compositions comprises rhodium, and X is O.

5. The process of Claim 1 wherein the dehydroamino acid derivatives of formula I are selected from α -acetamidocinnamic acid and its methyl ester, 2-acetamido-3-(4-fluorophenyl)-prop-2-enoic acid and its methyl ester, 10 2-acetamido-3-(3-methoxyphenyl)-prop-2-enoic acid and its methyl ester, methyl 2-acetamido-3-(4-trifluoromethylphenyl)-prop-2-enoate, methyl 2-acetamido-3-(4-methoxyphenyl)-prop-2-enoic acid and its methyl ester, methyl 2-acetamido-3-(4-bromophenyl)-prop-2-enoic acid, methyl 2-N-benzyloxycarbonyl-3-(4-fluorophenyl)-prop-2-enoate, 2-acetamidoacrylic acid, 2-acetamido-3-isopropylacrylic acid, 2-acetamido-3-(2-naphthyl)prop-2-enoic acid and its methyl ester, and methyl 15 2-acetamido-3-(3-thienyl)prop-2-enoate.

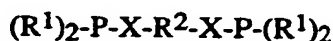
6. The process of Claim 2 wherein R² of formula II is selected from 2,3-dideoxyglucose; 2,3-dideoxyxylose; 2,3-dideoxyarabinose; 2,3-dideoxymaltose; 2,3-dideoxymannose; 2,3-dideoxyyallose; 2,3-dideoxylactose; or their 20 corresponding amino sugars.

7. The process of Claim 2 wherein the catalyst composition comprises rhodium, R² of formula II is 2,3-dideoxyglucopyranose, each X is O and each R¹ is independently an alkyl or alkoxy substituted phenyl.

8. The process of Claim 3 wherein the R² of formula II is selected 25 from 3,4-dideoxyglucose; 3,4-dideoxyfructose; 3,4-dideoxymannose; 3,4-dideoxyxylose; 3,4-dideoxyarabinose; 3,4-dideoxymaltose; 3,4-dideoxylactose; or their corresponding amino sugars.

9. The process of Claim 3 wherein the catalyst composition comprises rhodium, R² of formula II is 3,4-dideoxyglucopyranose, each X is O, and each R¹ 30 is independently an alkyl or alkoxy substituted phenyl.

10. A catalyst composition comprising iridium or rhodium and a chiral, nonracemic diphosphinite ligand of formula II



wherein R² is a C₄ to C₄₀ dideoxycarbohydrate;

each X is independently O or NR³, wherein R³ is H, a C₁ to C₂₀ alkyl or aryl; and

each R¹ is independently an aromatic hydrocarbyl substituted with
 5 amino, dialkylamino, hydroxy, alkoxy, alkyl or trialkyl silyl groups or an aromatic heterocycle substituted with amino, dialkylamino, hydroxy, alkoxy, alkyl, trialkylsilyl or triarylsilyl groups.

11. The catalyst composition of Claim 10 comprising rhodium.

12. The catalyst composition of Claim 10 wherein each X is O.

10 13. The catalyst composition of Claim 10 wherein R² is selected from 2,3-dideoxyglucose; 2,3-dideoxyxylose; 2,3-dideoxyarabinose; 2,3-dideoxy-maltose; 2,3-dideoxymannose; 2,3-dideoxyyallose; 2,3-dideoxylactose; 3,4-dideoxyglucose; 3,4-dideoxyfructose; 3,4-dideoxymannose; 3,4-dideoxyxylose; 3,4-dideoxyarabinose; 3,4-dideoxymaltose;
 15 3,4-dideoxylactose; or their corresponding amino sugars.

14. The catalyst composition of Claim 10 wherein each R¹ is independently an alkyl or alkoxy substituted phenyl.

15. The catalyst composition of Claim 10 comprising rhodium wherein
 20 each X is O, R² is 2,3-dideoxyglucopyranose or 3,4-dideoxyglucopyranose, and each R¹ is 3,5-dimethylphenyl.

16. A process for asymmetric hydrogenation, comprising reacting a dehydroamino acid derivative of formula I



I

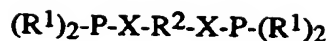
25

wherein each Z is independently H or a C₁ to C₄₀ carboalkoxy, C₁ to C₄₀ aromatic or nonaromatic hydrocarbyl or C₁ to C₄₀ aromatic or nonaromatic heterocyclic radical, optionally substituted with one or more halo, alkoxy, carboalkoxy, nitro,
 30 haloalkyl, hydroxy, amido, keto or sulfur containing groups;

with a source of hydrogen;

in the presence of a catalyst composition comprising iridium or rhodium and a chiral nonracemic diphosphinite ligand of formula II

36



II

wherein R^2 is a C_4 to C_{40} dideoxycarbohydrate;

5 each X is independently O or NR^3 , wherein R^3 is H , a C_1 to C_{20} alkyl or aryl; and

each R^1 is an unsubstituted aromatic hydrocarbyl to,
yield a chiral, nonracemic mixture of compounds of formula III

10



III

wherein Z is defined as above;

and wherein in formula II the X groups are attached to R^2 in the
15 Left-Right diphosphinite configuration whereby the asymmetric hydrogenation process selectively yields compounds of formula III in R -configuration.

17. The process of Claim 16 wherein each R^1 is phenyl.

INTERNATIONAL SEARCH REPORT

Internat Application No
PCT/US 95/00010A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 C07C231/18 C07C227/32 C07C233/47 C07D333/24 B01J31/24

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 C07C B01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	CHEMICAL AND PHARMACEUTICAL BULLETIN., vol.40, no.10, 1992, TOKYO JP pages 2894 - 2896 T. MORIMOTO ET AL. 'Effects of the Diarylphophino Groups of Modified DIOPS on the Enantioselectivity and the Catalytic Activity of their Rhodium(I) Complexes in the Catalytic Asymmetric Hydrogenations of Enamides' see the whole document --- -/--	1-17

☒ Further documents are listed in the continuation of box C.☐ Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

6 April 1995

Date of mailing of the international search report

26. 04. 95

Name and mailing address of the ISA
European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+ 31-70) 340-3016

Authorized officer

Seufert, G

INTERNATIONAL SEARCH REPORT

Inter. Appl. Application No.

PCT/US 95/00010

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	TETRAHEDRON LETTERS, no.34, 1979, OXFORD GB pages 3163 - 3166 KEN-ICHI ONUMA ET AL. 'Chiral Recognition by various Bisphosphine-Rhodium Complexes in Asymmetric Hydrogenation of Olefins through Helical Conformation of Phenyl Groups on the Phosphorous Atom' ----	1-17
P,X	JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, vol.116, 4 May 1994, WASHINGTON, DC US pages 4101 - 4102 T. V. RAJANBABU, T. A. ET AL 'Electronic Amplification of Selectivity in Rh-Catalyzed Hydrogenations: D-Glucose-Derived Ligands for the Synthesis of D- or L-Amino Acids' ----	1-17
P,X	TETRAHEDRON LETTERS, vol.35, no.25, 20 June 1994, OXFORD GB pages 4295 - 4298 T. V. RAJANBABU, T. A. AYERS 'Electronic Effects in Asymmetric Catalysis: Hydroformylation of Olefins' -----	10-15

Form PCT/ISA/210 (continuation of second sheet) (July 1992)